

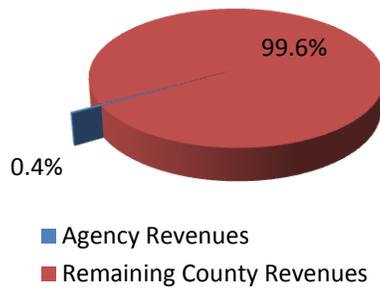
Common Pleas

Agency Mission:

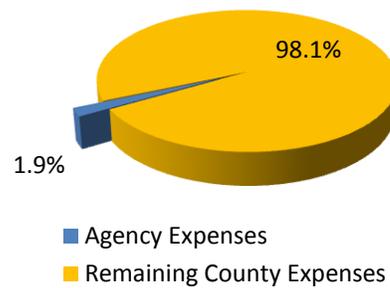
The Franklin County Court of Common Pleas, General Division, is dedicated to dispensing equal justice in all matters under the Court's jurisdiction, preserving the rule of law, protecting the rights and liberties guaranteed under the Constitution and laws of the United States and providing the highest quality of professional support in a prompt, efficient and cost effective manner.

Revenue and Expense Overview:

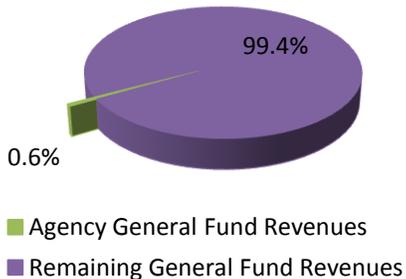
% of Total County Revenue



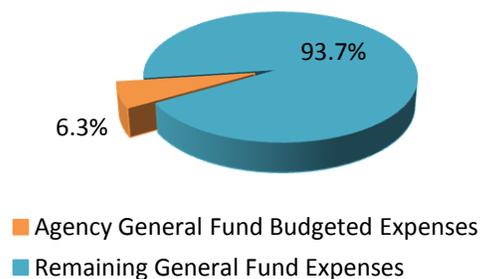
% of Total County Expenses



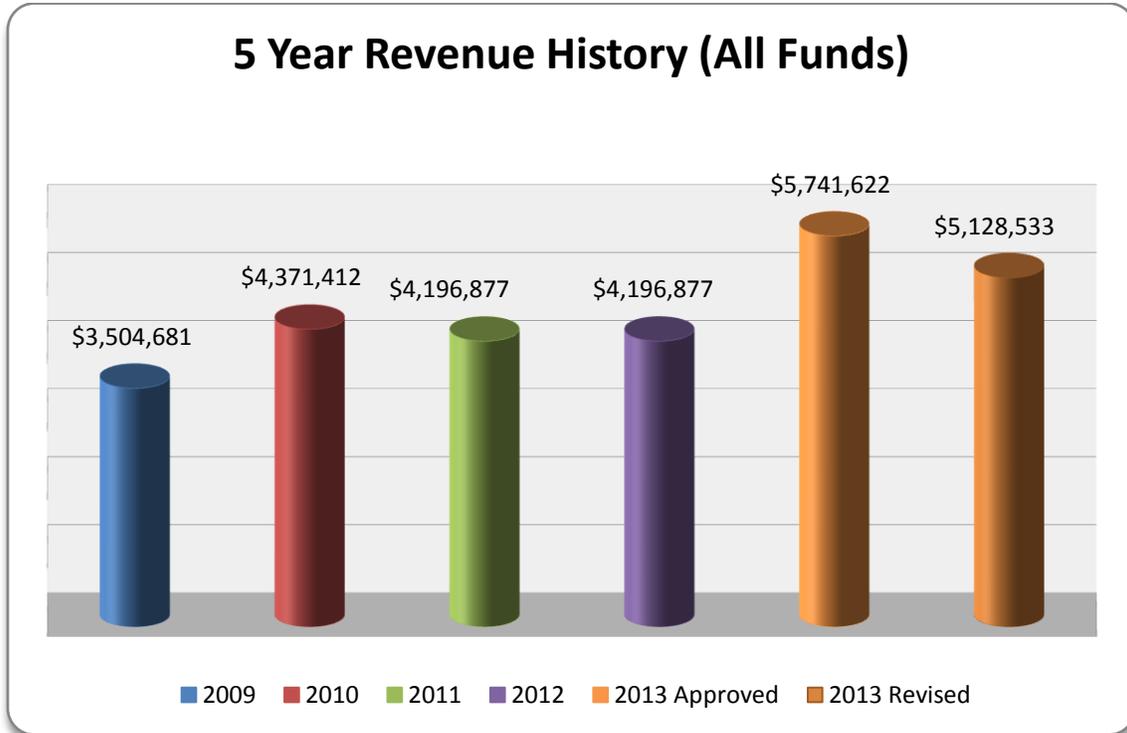
% of County General Fund Revenue



% of County General Fund Expenses



I. Budget Summary – Revenue



- 1) The Court's primary source of revenue in the General Fund is appointed counsel expense reimbursements from the State Public Defender's Office.

The primary source of revenue for both the Community Corrections Felony Fund (Fund 2023) and the Community Corrections Misdemeanor Fund (Fund 2094) is the Community Corrections Grant from the Ohio Department of Rehabilitation and Corrections.

The primary sources of revenue in the Probation Services Fund (Fund 2063) are fees for Probation Supervision, as specified in ORC 2951.021, which are collected by the Clerk of Courts' office. Once collected by the Clerk of Courts' Office, these fees are deposited into an account held outside the county treasury and transferred to this fund each month.

The primary source of revenue in the Computerization Fund (Fund 2017) is computerization fees, as outlined in ORC 2303.201.

The primary source of revenue in the Justice Reinvestment Fund (Fund 2140) is from the Ohio Department of Rehabilitation for the administration of the Community Corrections - Jail Diversion program for drug offenders.

The primary source of revenue in the Probation Improvement and Incentive Grant (Fund 2141) is from the Probation Improvement and Incentive Grant from the Ohio Department of Rehabilitation and Corrections for the implementation of a single probation system for participating counties throughout the state.

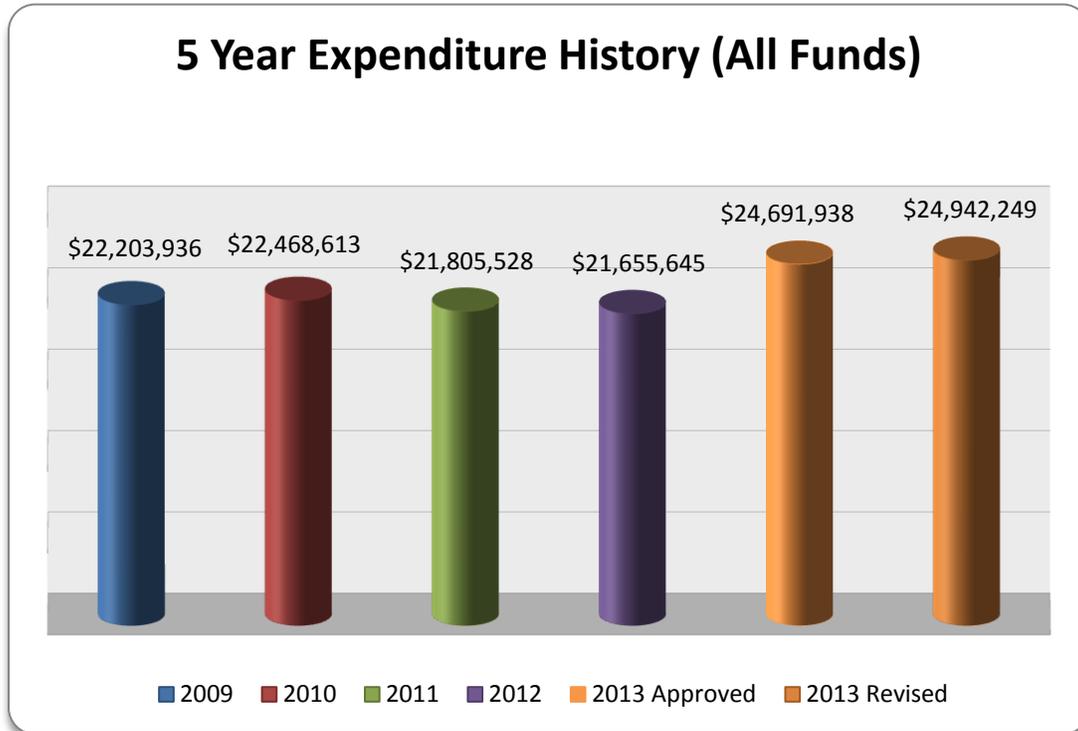
- 2) The increase from 2009 to 2010 is primarily attributed to an increase in the State Public Defender Reimbursement for Appointed Counsel; the reimbursement rate increased from 25% to 35% in July 2009. The revenue increase in 2010 can also be attributed to the Franklin County Adult Probation Department receiving State Funds to place offenders in the Work Release Facility at Jackson Pike.
- 3) The decrease in revenue from 2010 to 2011 is due to a decrease in appointed counsel costs in 2011, which caused the reimbursement from the state to be less.
- 4) The increase in revenue for 2011 to the 2012 Actual is due to the receipt of monies from the Ohio Department of Rehabilitation for the administration of the Community Corrections – Jail Diversion program for drug offenders.
- 5) The decrease in revenue from 2012 to 2013 is due to a decrease in appointed counsel costs in 2013, which expected to reduce the reimbursement from the state.

Revenue

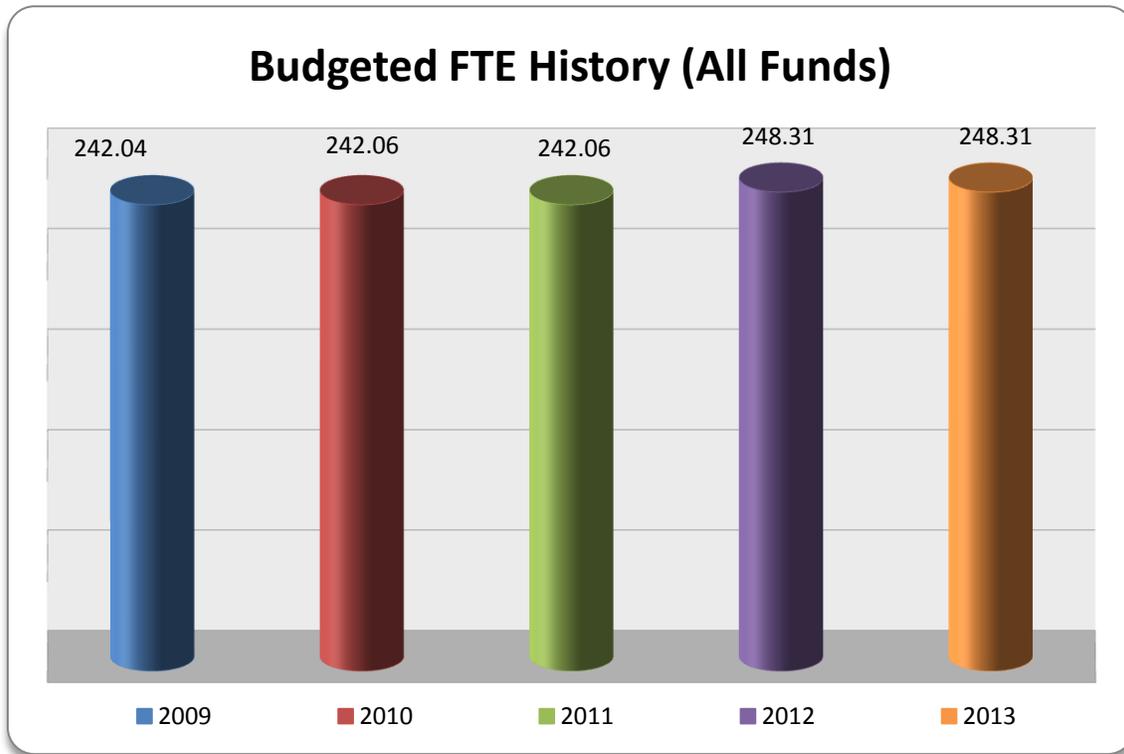
	General Fund	Non-General Fund	Total
2011 Actual	\$1,026,378	\$3,170,499	\$4,196,877
2012 Actual	\$1,053,048	\$4,688,574	\$5,741,622
2013 Agency Request	\$1,515,896	\$3,612,637	\$5,128,533
% Over(Under) 2012 Actual	44.0%	(22.9%)	(10.7%)
2013 Approved Budget	\$1,515,896	\$3,612,637	\$5,128,533
% Over(Under) 2012 Actual	44.0%	(22.9%)	(10.7%)
% Over(Under) Agency Request	0.0%	0.0%	0.0%
2013 Revised Budget	\$1,515,896	\$3,612,637	\$5,128,533
% Over(Under) 2012 Actual	44.0%	(22.9%)	(10.7%)
% Over(Under) Agency Request	0.0%	0.0%	0.0%
% Over(Under) Approved Budget	0.0%	0.0%	0.0%

- 6) 2012 Actual –The \$1,544,745 increase from the 2011 Actual to the 2012 Actual is primarily attributed to the revenues received in the Probation Technology Fund for the purpose of developing a statewide probation system.
- 7) Agency Request - The \$613,089 decrease from the 2012 Actual to the 2013 Agency Request is primarily attributed to decreased revenues in the non-General Fund resulting from reductions in State reimbursements and Grants.
- 8) Approved Budget – There is no change from the 2013 Agency Request to the 2013 Approved Budget.
- 9) Revised Budget – There is no change from the 2013 Approved Budget to the 2013 Revised Budget.

II. Budget Summary – Expenditures



- 1) The decrease in expenditures between 2010 and 2011 is primarily due to a decrease in expenditures related to furniture and IT equipment purchases for the move to the new courthouse. Additionally, there were decreases in juror fees and appointed counsel related expenses.
- 2) The increase in expenditures between the 2011 and 2012 as well as 2012 to 2013, is primarily due to board and care increases related to the administration of the Community Corrections – Jail Diversion program for drug offenders.



- 3) The 6.25 FTE increase from the 2011 Approved Budget to the 2012 Approved Budget is due to the addition of 6.25 FTEs during 2011 for Presentencing Investigators. These additional FTEs are state funded, and the appropriations for these positions are in the Community Corrections Program (Fund 2023).

Full Time Equivalents (FTEs)	2012 Budget	2013 Agency Request	2013 Approved Budget	2013 Revised Budget
Justice Operations	118.31	118.24	118.24	118.24
Information Technology	6.50	6.50	6.50	6.50
Adult Probation/Community Corrections	123.50	123.57	123.57	123.57
Total Agency FTEs	248.31	248.31	248.31	248.31

- 4) Agency Request - There is no change in Total FTEs from the 2012 Approved Budget to the 2013 Agency Request.
- 5) Approved Budget - There is no change in Total FTEs from the 2013 Agency Request to the 2013 Approved Budget.
- 6) Revised Budget - There is no change in Total FTEs from the 2013 Approved Budget to the 2013 Revised Budget.

Expenditures

	General Fund	Non-General Fund	Total
2011 Actual	\$18,931,194	\$2,874,335	\$21,805,528
2012 Actual	\$18,379,142	\$3,276,503	\$21,655,645
2013 Agency Request	\$18,891,260	\$5,636,999	\$24,528,258
% Over(Under) 2012 Actual	2.8%	72.0%	13.3%
2013 Approved Budget	\$19,032,356	\$5,659,583	\$24,691,938
% Over(Under) 2012 Actual	3.6%	72.7%	14.0%
% Over(Under) Agency Request	0.7%	0.4%	0.7%
2013 Revised Budget	\$19,239,488	\$5,761,975	\$25,001,463
% Over(Under) 2012 Actual	4.7%	75.9%	15.5%
% Over(Under) Agency Request	1.8%	2.2%	1.9%
% Over(Under) Approved Budget	1.1%	1.8%	1.3%

- 7) 2012 Actual - The \$149,883 decrease from the 2011 Actual to the 2012 Actual is primarily attributed to projected increases in the non-general fund in the amount of \$402,168 and General Fund savings in the amount of \$552,052.

The General Fund decrease is related to one-time purchases of computer workstations in 2011. The non-general fund increase is primarily due to the start of the e-filing project within the Court Computerization Fund

- 8) Agency Request - The \$2,872,613 increase from the 2012 Actual to the 2013 Agency Request is primarily attributed to Professional Services related to the implementation of a new Probation System, an increase in Board and Care related to an increase in funding from the Ohio Department of Rehabilitation and Corrections, and transfers to the General Fund related to a declaration of a surplus in both the Computerization Fund and the Foreclosure Mediation Fund.
- 9) Approved Budget - The \$163,680 increase from the 2013 Agency Request to the 2013 Approved Budget is attributed to the 6% premium healthcare increase effective April 2013.
- 10) Revised Budget – The \$309,525 increase from the 2013 Approved Budget to the 2013 Revised Budget is related to the 1.5% salary and wage increase for non-bargaining employees.

III. Agency Overview

Agency Goals:

The Court of Common Pleas does not currently participate in Budgeting for Policy Results and Outcomes. The Judges of the Court of Common Pleas, General Division, are providing OMB updated court performance metrics from CourtTools, a program created by the National Center for State Courts, which is used by the Ohio Supreme Court to compile County Courts information in one central location.

IV. General Fund Budget Overview

Fund Description:

The General Fund is the County's primary operating fund. Revenues are collected from numerous sources and allocated to various programs to provide services to the residents of Franklin County.

	2011 Actual	2012 Actual	2013 Requested Budget	2013 Approved Budget	2013 Revised Budget
Service Fees & Charges Total	\$81,975	\$61,362	\$73,500	\$73,500	\$73,500
Intergovernmental Total	\$943,479	\$991,685	\$988,050	\$988,050	\$988,050
Interfund Total	\$0	\$0	\$454,346	\$454,346	\$454,346
Other Financing Sources Total	\$925	\$0	\$0	\$0	\$0
Total Revenue	\$1,026,378	\$1,053,048	\$1,515,896	\$1,515,896	\$1,515,896
Personal Services Total	\$10,307,694	\$10,141,705	\$10,142,306	\$10,142,306	\$10,320,599
Fringe Benefits Total	\$4,196,910	\$4,184,969	\$4,361,149	\$4,502,245	\$4,531,084
Materials & Services Total	\$4,217,076	\$4,482,837	\$4,387,805	\$4,387,805	\$4,387,805
Capital Outlays Total	\$19,214	\$0	\$0	\$0	\$0
Interfund Total	\$190,300	\$0	\$0	\$0	\$0
Total Expenses	\$18,931,194	\$18,379,142	\$18,891,260	\$19,032,356	\$19,239,488

V. Program Overview

Justice Operations Program

Program Purpose:

The purpose of the Justice Operations Program is to provide effective justice services in the courtroom setting that meet the public's need for fair and timely adjudication of crimes and civil disputes and to provide professional and qualified staff support and assistance to the Court, Bar and the public.

ORC Reference Mandating this Program: 307.01 (B)

Program Services:

Criminal sentences, Decisions, Settlements, Offender re-determinations, Trials, Arraignments, Indigent legal service appointments, Competency determinations, Pre-Trial psychological reports, Pre-Trial investigation orders, Civil stalking protection orders, Sexual predator determination Orders, Jury verdicts, Sequestered jury arrangements, Case assignment services, Transcripts, Victim information services, Case management reports, Jury services, Court reporter technology/training sessions, Magistrate decisions, Jury orientation materials, Grand jury services, Court dates, Magistrate referrals, Visiting judge referrals and Assigned counsel.

Core Principle:

N/A

Linkage to Core Principle:

N/A.

Measures and Indicators:

N/A

The Common Pleas Court does not provide Budgeting for Policy Results and Outcomes performance measures at this time. However, the budgetary information and related services have been organized by Program.

Information Technology Program

Program Purpose:

The purpose of the Information Technology Department is to implement and utilize the most modern and available techniques and technologies to efficiently process workflow and facilitate information sharing so that the Court and the public has access to useful data, which aids in the processing of efficient case management in order to expedite the justice process.

ORC Reference Mandating this Program: 307.01 (B)

Program Services:

Systems administration, Application development, Network management, Security, Help desk, Training and Purchasing.

Core Principle:

N/A

Linkage to Core Principle:

N/A

Measures and Indicators:

N/A

The Common Pleas Court does not provide Budgeting for Policy Results and Outcomes performance measures at this time. However, the budgetary information and related services have been organized by Program.

Adult Probation/Community Corrections Program

Program Purpose:

The purpose of the Adult Probation Department is to provide protection to the community by assisting offenders to achieve law-abiding behavior and address non-compliance through a continuum of sanctions. Additionally, the purpose of the Adult Probation Department is to provide quality services to the Court by supplying timely and accurate information to the judges for use in their decision making process.

ORC Reference Mandating this Program: 307.01 (B)

Program Services:

Standard and intensive supervision, including electronic monitoring

Core Principle:

N/A

Linkage to Core Principle:

N/A

Measures and Indicators:

N/A

The Common Pleas Court does not provide Budgeting for Policy Results and Outcomes performance measures at this time. However, the budgetary information and related services have been organized by Program.

VI. Request for Results:

1) **Project Title: Declaration of Surplus to Fund Justice Operations**

Type of Request: New Initiative	Amount Requested:	\$454,346 in revenue
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Request Description: This request will enable the Court to declare a surplus in both the Court Computerization Fund (Fund 2017) in the amount of \$237,390 and the Foreclosure Mediation Fund (Fund 2136), which is an outside fund, in the amount of \$216,956 to offset the amount the Court exceeded the allowable baseline limit.

Status: Recommended	Amount Recommended:	\$454,346
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Recommendation: This request was recommended since it added revenue (\$454,346) to the General Fund to offset expenses outside the allowable baseline limit. This request was included in the final approved budget.

2) **Project Title: Declaration of Surplus to assist Clerk of Courts Funding**

Type of Request: New Initiative	Amount Requested:	\$250,000
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Request Description: This request will enable the Court to declare a surplus in the Court Computerization Fund (Fund 2017) in the amount of \$250,000 to support IT Purchases and a vacancy credit reduction in the 2013 budget for the Clerk of Courts.

The corresponding revenue to the General Fund that is associated with this request is included within the request made by the Clerk of Courts.

Status: Recommended	Amount Recommended:	\$250,000
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Recommendation: This request was recommended since it added revenue (\$250,000) to the General Fund to offset the request for results approved above the allowable baseline limit for the Clerk of Courts. This request was included in the final approved budget.

VII. OMB Recommended Adjustments:

A. Vacancy Credits

- 1) Vacancy Credit – General Fund (Fund 1000) – The 2013 Agency Request includes a vacancy reduction of \$332,277 in personal services and \$149,108 in fringe benefits, which represents a vacancy rate of approximately 3.3%. For comparison, the 2012 Budget included a vacancy credit of \$328,729 in personal services and \$149,630 in fringe benefits, which represented a vacancy rate of approximately 3.2%.

Based on the 2009, 2010 and 2011 total actual salaries and wages expenditures, as well as the 2012 projected salary expenditures, as compared to approved budget amounts, the Court maintains an average vacancy rate of 2.4% in this fund. However, the vacancy surplus for 2012 is projected to be 3.8%. Based on this information, no further adjustments are recommended.

- 2) Vacancy Credit – Community Corrections Program Fund (Fund 2023) – The 2013 Agency Request does not include a vacancy reduction in personal services or fringe benefits. For comparison, the 2012 Budget also did not include a vacancy credit.

Based on the 2009, 2010 and 2011 total actual salaries and wages expenditures, as well as the 2012 projected salary expenditures, as compared to approved budget amounts, the Court maintains an average vacancy rate near 0.0% in this fund. Since this budget is based on grant funding, no further adjustments are recommended.

- 3) Vacancy Credit – Probation Services Fund (Fund 2063) – The 2013 Agency Request does not include a vacancy reduction in personal services or fringe benefits. For comparison, the 2012 Budget also did not include a vacancy credit.

Based on the 2009, 2010 and 2011 total actual salaries and wages expenditures, as well as the 2012 projected salary expenditures, as compared to approved budget amounts, the Court maintains an average vacancy rate near 0.0% in this fund. Since this budget is based on grant funding, no further adjustments are recommended.

- 4) Vacancy Credit – Community Corrections Misdemeanor Fund (Fund 2094) – The 2012 Agency Request does not include a vacancy reduction in personal services or fringe benefits. For comparison, the 2012 Budget also did not include a vacancy credit.

Based on the 2009, 2010 and 2011 total actual salaries and wages expenditures, as well as the 2012 projected salary expenditures, as compared to approved budget amounts, the Court maintains an average vacancy rate of 2.6% in this fund. Since this budget is based on grant funding, no further adjustments are recommended.

B. Other Adjustments

- 1) Baseline Adjustments – General Fund (Fund 1000) – Healthcare Adjustment – The 2013 Approved Budget includes an additional \$141,096 for the estimated 6% premium rate increase effective April 2013.
- 2) Baseline Adjustments – Community Corrections Program Fund (Fund 2023) – Healthcare Adjustment – The 2013 Approved Budget includes an additional \$18,792 for the estimated 6% premium rate increase effective April 2013.

- 3) Baseline Adjustments – Probation Services Fund (Fund 2063) – Healthcare Adjustment – The 2013 Approved Budget includes an additional \$96 for the estimated 6% premium rate increase effective April 2013.
- 4) Baseline Adjustments – Community Corrections Misdemeanor Fund (Fund 2094) – Healthcare Adjustment – The 2013 Approved Budget includes an additional \$3,696 for the estimated 6% premium rate increase effective April 2013.

VIII. Budget Corrective Items:

Approved

- 1) Resolution No. 0031-13 authorized a General Fund transfer of appropriations from the Commissioners' Reserves in the amount of \$1,219,297 to various County offices for a 1.5% salary and wage increase for non-bargaining employees. The total amount transferred to Personal Services and Fringe Benefits for the Court of Common Pleas was \$207,132.
- 2) Resolution No. 0031-13 authorized non-general fund supplemental appropriations in the amount of \$727,419 to various County offices for a 1.5% salary and wage increase for non-bargaining employees. The total amount of supplemental appropriations in Personal Services and Fringe Benefits for Court of Common Pleas in the Probation Services Fund was \$179.
- 3) Resolution No. 0116-13 authorized non-General Fund supplemental appropriations in the amount of \$43,000 within the Court Computerization Fund (Fund 2017) for the purchase of computer equipment.
- 4) Resolution No. 0197-13 authorized non-General Fund supplemental appropriations in the amount of \$59,214 and a transfer of appropriations in the amount of \$113,591 within the Probation Reinvestment and Justice Improvement Grant Fund (Fund 2140) to address various state budget revisions and projected requirements for these programs.
- 5) Resolution No. 0309-13 authorized a non-general fund transfer of funds from the Court Computerization Fund (Fund 2017) in the amount of \$477,981 for the county wide Electronic Filing (e-Filing) System.

Pending

- 1) None

IX. Other Post-Budget Items:

- 1) None.